

Reasons for Removal: Alphabetic codes

A: The Designated System was determined to be noncompliant with the requirements under Section 4(a) of the Cover Sheet of the REC Contract, including after Seller had a period of 20 Business Days after notice as provided in the ABP Contract to demonstrate that the event had not occurred, and the Designated System was thus removed.

Resulting payment: Seller pays the greater of (i) the Collateral Requirement with respect to such Designated System or (ii) one hundred ten percent (110%) of the total payments Seller has received from Buyer associated with RECs from such Designated System.

B: The Designated System was determined to be noncompliant with the requirements in Section 4(b) of the Cover Sheet, including after Seller had a period of 20 Business Days after notice as provided in the ABP Contract to demonstrate that the event had not occurred, and the Designated System was thus removed.

Resulting payment: Seller pays the greater of (i) the Collateral Requirement with respect to such Designated System or (ii) one hundred percent (100%) of the total payments Seller has received from Buyer associated with RECs from such Designated System.

C: The Designated System was determined to be noncompliant with the requirements under Section 4(c) of the Cover Sheet, including after Seller had a period of 20 Business Days after notice as provided in the ABP Contract to demonstrate that the event had not occurred, and the Designated System was thus removed.

Resulting payment: Seller pays the greater of (i) the Collateral Requirement with respect to such Designated System or (ii) one hundred percent (100%) of the total payments Seller has received from Buyer associated with RECs from such Designated System.

D: The Designated System was determined to be noncompliant with the requirements under Section 4(d) of the Cover Sheet, including after Seller had a period of 20 Business Days after notice as provided in the ABP Contract to demonstrate that the event had not occurred, and the Designated System was thus removed.

Resulting payment: Seller pays the greater of (i) the Collateral Requirement with respect to such Designated System or (ii) one hundred percent (100%) of the total payments Seller has received from Buyer associated with RECs from such Designated System.

E. The Designated System did not receive interconnection approval from the utility within 730 days after an extension of the Scheduled Energized Date was granted, and Seller exercised its right to remove the Designated System by providing written notice to Buyer and the IPA pursuant to Section 5(b)(iv) of the Cover Sheet.

Resulting payment: Seller owes \$0 to Buyer. Buyer provides to Seller a refund from previously posted Performance Assurance in the amount of the Collateral Requirement associated with such Designated System.

F: The Designated System was not Energized by the Scheduled Energized Date (plus any extension granted under Section 5(b) of the Cover Sheet), so was removed pursuant to Section 5(d) of the Cover Sheet.

Resulting payment: Seller pays to Buyer the Collateral Requirement associated with the Designated System plus any extension fees associated with such Designated System.

G: The Designated System's Actual Nameplate Capacity is larger than the Proposed Nameplate Capacity and the difference is within the greater of: +5kW or +25% of the Proposed Nameplate Capacity, and Seller exercised its right to remove the Designated System by providing written notice to the IPA pursuant to Section 5(f) of the Cover Sheet.

Resulting payment: Seller forfeits the portion of previously posted Performance Assurance equal to the Collateral Requirement associated with the Designated System. This forfeited amount may be re-

credited to Seller as Performance Assurance (and refunded to Seller to the extent in excess of required Performance Assurance Requirement) if a new ABP application of the Designated System is approved by the ICC for inclusion in this REC Contract within three hundred sixty five (365) days of the date of the written notice from Seller requesting removal and the IPA so notifies Buyer.

H: Seller exercised its right to remove the Designated System for the purpose of re-applying to the ABP under a different Class of Resource, by providing written notice to the IPA pursuant to Section 5(f).

Resulting payment: Seller forfeits the portion of previously posted Performance Assurance equal to the Collateral Requirement associated with the Designated System. This forfeited amount may be re-credited to Seller as Performance Assurance (and refunded to Seller to the extent in excess of required Performance Assurance) if a new ABP application of the Designated System is approved by the ICC for inclusion in this REC Contract within three hundred sixty five (365) days of the date of the written notice from Seller requesting removal and the IPA so notifies Buyer.

I: The Designated System's Actual Nameplate Capacity differs from the Proposed Nameplate Capacity by more than the greater of 5kW or 25% of the Proposed Nameplate Capacity, so the Designated System was removed pursuant to Section 5(f) of the Cover Sheet.

Resulting payment: Seller forfeits the portion of previously posted Performance Assurance equal to the Collateral Requirement associated with the Designated System. This forfeited amount may be re-credited to Seller as Performance Assurance (and refunded to Seller to the extent in excess of required Performance Assurance) if a new ABP application of the Designated System is approved by the ICC for inclusion in this REC Contract within three hundred sixty five (365) days of the date of the written notice from Seller requesting removal and the IPA so notifies Buyer. If the previously forfeited amount is not entirely required to meet the Collateral Requirement of such newly approved Designated System as required by the previous sentence, the excess amount will be refunded to Seller.

J: The IPA determined in its reasonable discretion that Seller failed to perform a material covenant or obligation tied to the Designated System; or the Designated System is in material non-conformance with requirements of the ABP; or is materially non-conforming with the information previously submitted by Seller to the IPA about that Designated System, and the Seller did not cure the deficiency within twenty (20) Business Days (plus any extensions for good cause granted by the IPA); the IPA then exercised its right to remove the Designated System, pursuant to Section 5(h) of the Cover Sheet and so notified Buyer and Seller.

Resulting payment: Seller pays the greater of (i) the Collateral Requirement with respect to such Designated System or (ii) one hundred percent (100%) of the total payments Seller has received from Buyer associated with RECs from such Designated System.

K: The Designated System was Energized but failed to Deliver at least 1 REC within 90 days after Energization (for an Actual Nameplate Capacity > 5 kW) or within 180 days after Energization (for an Actual Nameplate Capacity # 5 kW), and Seller failed to provide a notice within 60 days after the applicable deadline confirming that there are no technical issues, with respect to such Designated System, known to Seller that would impede the generation, issuance and Deliver of RECs from such Designated System or that such technical issues have been resolved by Seller; the Designated System was thus removed, pursuant to Section 6(a) of the Cover Sheet.

Resulting payment: Seller pays the greater of (i) the Collateral Requirement with respect to such Designated System or (ii) one hundred percent (100%) of the total payments Seller has received from Buyer associated with RECs from such Designated System.

L: Seller exercised its right to remove the Designated System by making its request to Buyer and the IPA pursuant to Section 4.3(b) of the Master Agreement (as modified by Section 13(e) of the Cover Sheet) within 14 days following the Designated System's Interconnection Customer (as defined in Section 466.30 of Title 83 of the Illinois Administrative Code) receiving from the interconnecting utility a non-binding estimate of costs to construct the interconnection facilities and any required distribution

upgrades for that Designated System in an amount exceeding 30 cents per watt AC of the Designated System's Proposed Nameplate Capacity.

Resulting payment: Seller forfeits 25% of the Performance Assurance Amount previously posted in connection with the Designated System; the remaining 75% of Performance Assurance Amount is returned by Buyer to Seller.

M: A Suspension Period (as defined in Article 6 of the Master agreement (as amended by Section 13(g) of the Cover Sheet)) has arisen with respect to a Designated System due to a Force Majeure event, and the Suspension Period lasted at least 730 days; the Designated System was thus removed pursuant to the same Article 6.

Resulting payment: If payments have been made to Seller with respect to the Designated System, Seller shall return the amount of payment based on the applicable Contract Price and on the difference between the number of RECs used to calculate payment and the number of RECs Delivered from such Designated System.

N: Seller exercised its option to remove the Designated System pursuant to the provisions of Section 5.3.1 of the Illinois Power Agency's Revised Long-Term Renewable Resources Procurement Plan approved by the Illinois Commerce Commission in Docket No. 19-0995 on February 18, 2020.

Resulting payment: Seller forfeits the portion of previously posted Performance Assurance equal to the Collateral Requirement associated with the Designated System.

O: The Designated System was removed pursuant to Section 13 due to consumer protection concerns and shall be reassigned to another Product Order.

Resulting payment: N/A

ADDITIONAL NOTES
